

In Place of FORMPTO-1449 (Modified)

Serial No. 10 033,076
 Applicants: Richard E. Smalley et al.
 Filing Date: December 28, 2001
 Group: 1754
 Atty. Docket No.: 11321-P0121/SD8

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

APR 19 2002

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
SLA ABA	EP 1 176 234 A2	12-05-1993	European			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

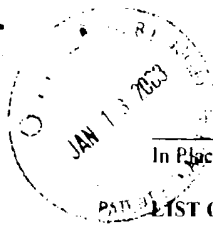
Examiner Initial

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- ADA LIU, *et al.*, "Fullerene Pipes," *Science*, Volume 280, May 22, 1998, pp. 1253-1256.
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Herbickson S/Sloy

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Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
SLD AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
ABA						
ACA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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SLD AEA

AJAYAN et al., "Opening carbon nanotubes with oxygen and implications for filing," *Nature*, Volume 362, pp. 522-525 (April 8, 1993).

Examiner:

Hendricks

Date Considered:

SLD 04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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